



SEP 11 2003

(AW 08/03)

Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	09/922,978
Filing Date	August 6, 2001
First Named Inventor	Kazuhisa Yamamoto, et al.
Art Unit	2828
Examiner Name	Leon Scott, Jr.
Attorney Docket No.	YAO-3750US1

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner
Signature

Date Considered

9-04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

1Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04

³Enter Office that issued the document, by the two-letter code (WIPO Standard S: 3)

*For Japanese patent documents, the indication of the year of the reign of the Emperor.

⁵For Japanese patent documents, the indication of the year or the reign of the Emperor must precede the serial number of the patent document.

***Applicant is to place a check mark here if English language translation is attached.**

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Substitute for Form 1449B/PTO

(AW 08/03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 2 of 2

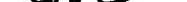
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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
<i>QMC</i>		Chinese Office Action dated July 10, 2003.	<input type="checkbox"/>
			<input type="checkbox"/>

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Sheet 1 of 1

FORM PTO-1449 <small>(Rev. 2-32)</small>	U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. YAO-3750US1	SERIAL NO. 09/922,978 <small>11.3.00</small>
Information Disclosure Statement by Applicant (Use several sheets if necessary)		APPLICANT K. Yamamoto et al.	<i>RECEIVED</i> <i>ENTER 2800</i>
		FILING DATE August 6, 2001	GROUP 2881

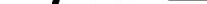
U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	<p>1) "Postfabrication Changes and Dependence on Hydrogen Concentration of the Refractive Index of Proton-Exchanged Lithium Tantalate Waveguides", H. Ahlfeldt, et al. January 15, 1994, pages 717-727.</p> <p><u>J. Appl. Phys. Vol. 75 No. 2</u></p>

Examiner		Date Considered	9-04
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (Rev. 2-32)		ATTY. DOCKET NO. YAO-3750US1	SERIAL NO To Be Assigned
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Kazuhsia Yamamoto et al.	
		FILING DATE Herewith	GROUP

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09/922978
08/06/01

U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
DM	5,452,312	9/19/95	Yamamoto et al.	372	5	
DM	5,295,218	3/1994	Agostinelli	385	122	
DM	5,135,524	5/1992	Hirota	359	115	
DM	4,907,238	3/1990	Chun et al	372	32	
DM	4,667,331	5/1987	Alferness	372	12	
DM	5,614,961	3/1993	Gibeau	348	750	

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
DM	2-199975	8/8/90	Japan			x
DM	2-221995	9/4/90	Japan			x
DM	3-191332	8/21/91	Japan	385	14	x
DM	3-38984	2/20/91	Japan			x
DM	4-100088	4/2/92	Japan	345	32	x
DM	4-18823 U	2/17/92	Japan			x
DM	4-296731	10/21/92	Japan	372	5	x
DM	4-315120	11/6/92	Japan	349	80	x
DM	4-45478	2/14/92	Japan			x
DM	4-507299	12/17/92	Japan			x
DM	5-107421	4/30/93	Japan			x
DM	5-173094	7/13/93	Japan	359	205	x
DM	5-232536	9/1993	Japan	359	326	
DM	61-22518 B2	5/31/86	Japan			x
DM	6-148444	5-27-94	Japan	385	14	x
DM	62-274788	11/28/87	Japan	372	107	x
DM	6-265956	9/22/94	Japan	359	328	x
DM	6-273814	9/30/94	Japan	359	328	x
DM	6-350168	12/22/94	Japan	401S	3/08	x
DM	7-15077	1/1995	Japan	401S	3/109	
DM	7-23329 U	4/25/95	Japan	402F	1/13	x

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

- 1) K. Mizuuchi et al., "Second-Harmonic Generation of Blue Light in a LiTaO₃ Waveguide", Applied Physics Letters, vol. 58, no. 24, pp. 2732-2734 (1991). June 1991
- 2) International Search Report corresponding to PCT/JP96/01472 dated 10/8/96.

Examiner <i>DM</i>	Date Considered 9-04
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